

03-26-01

DOCKET No: PD05946AM

A

UTILITY PATENT APPLICATION TRANSMITTAL

March 23, 2001

Attorney Docket No	PD05946AM	Total Pages:	4
First-Named Inventor or Application Identifier	Ady, Roger et al.		
Title:	CABLE MODEM WITH AUTONOMOUS DIAGNOSTIC FUNCTION		
Express Mail Label No.:	EK141907441US		

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS (see MPEP chapter 600 concerning utility patent application contents)	ADDRESS TO:	Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
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1. ☒ Fee Transmittal Form *in duplicate*
2. ☒ Specification | Total Pages
3. ☒ Drawings | Total Sheets:
4. ☒ Oath or Declaration with Power of Attorney | Total Pages
 - a. ☒ Newly Executed (original or copy)
 - b. ☐ Copy from prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ Deletion of Inventor(s):
Signed statement attached deleting inventor(s) named in the prior application
(see 37 CFR §1.63(d)(2) and 1.33(b))
5. ☐ Incorporation by Reference (*useable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is
supplied under Box 4b, is considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (*cover sheet and document(s)*)
9. ☐ 37 CFR §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (*if applicable*)

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03/23/01
Jc984 U.S. PTO

J1002 U.S. PTO
09/016917
03/23/01

11.	<input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
12.	<input type="checkbox"/> Preliminary Amendment	
13.	<input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(should be specially itemized)</i>	
14.	<input type="checkbox"/> Small Entity Statements	

15.	<input type="checkbox"/> Certified Copy of Priority Document(s)
16.	<input checked="" type="checkbox"/> Other: Inventor Information Form (Print EFS)
17.	IF A CONTINUING APPLICATION <i>check appropriate box and supply the requisite information below and in a preliminary amendment:</i> <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part (CIP) Prior Appl. No. _____ Prior Appl. information: Examiner: Group/Art Unit:

CORRESPONDENCE ADDRESS					
NAME	Joanne N. Pappas Attorney for Applicant(s)				
Reg. No.	40,117				
ADDRESS	Motorola, Inc. PTO Customer No: 22917 Intellectual Property Section Law Department 1303 East ALGONQUING ROAD				
CITY	SCHAUMBURG	STATE	IL	ZIP CODE	60196
COUNTRY	U.S.A.	TELEPHONE	(508) 261-4358	FAX	(508) 339-7086

SUBMITTED BY			
NAME	Joanne N. Pappas	Reg. No.	40,117
SIGNATURE	<i>Joanne N. Pappas</i>		
DATE	March 23, 2001	Deposit Account User ID	13-4772

PATENT FEE TRANSMITTAL

Application Number	
Filing Date	March 23, 2001
First-Named Inventor	Roger Ady
Examiner Name	Not Assigned
Group/Art Unit	Not Assigned
Title:	CABLE MODEM WITH AUTONOMOUS DIAGNOSTIC FUNCTION
Attorney Docket No.	PD05946AM
TOTAL AMOUNT OF PAYMENT	
\$930.00	

METHOD OF PAYMENT*(check one)***FEE CALCULATION***(continued)*

<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Deposit Account No.</td> <td style="width: 50%;">Deposit Account Name</td> </tr> <tr> <td>13-4772</td> <td>Motorola, Inc.</td> </tr> </table> <p><input checked="" type="checkbox"/> Charge any additional Fee Required under 37 CFR § 1.16 and 1.17</p> <p><input type="checkbox"/> Charge the Issue Fee set in 37 CFR § 1.18 at the of the Mailing of the Notice of Allowance</p>	Deposit Account No.	Deposit Account Name	13-4772	Motorola, Inc.	<p>2. EXTRA CLAIM FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Claims</th> <th style="text-align: center;">Extra Claims</th> <th style="text-align: center;">Fee from Below</th> <th style="text-align: center;">Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total 30 -20** =</td> <td style="text-align: center;">10</td> <td style="text-align: center;">x 18</td> <td style="text-align: center;">= 180</td> </tr> <tr> <td>Ind. 3 -3 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;">x 80</td> <td style="text-align: center;">= 0</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <p>**or number previously paid, if greater; For Reissues, see below</p> <p style="text-align: center;">Large Entity</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;">Fee Code</th> <th style="text-align: center;">Fee (\$)</th> <th style="text-align: left;">Fee Description</th> </tr> </thead> <tbody> <tr> <td>103</td> <td style="text-align: center;">18</td> <td>Claims in excess of 20</td> </tr> <tr> <td>102</td> <td style="text-align: center;">80</td> <td>Ind. claims in excess of 3</td> </tr> <tr> <td>104</td> <td style="text-align: center;">270</td> <td>Multiple dependent claim, if not paid</td> </tr> <tr> <td>109</td> <td style="text-align: center;">80</td> <td>**Reissue independent claim over original patent</td> </tr> <tr> <td>110</td> <td style="text-align: center;">18</td> <td>**Reissue claims in excess of 20 and over original patent</td> </tr> </tbody> </table> <p>SUBTOTAL (2) \$180</p>	Claims	Extra Claims	Fee from Below	Fee Paid	Total 30 -20** =	10	x 18	= 180	Ind. 3 -3 =	0	x 80	= 0	Multiple Dependent				Fee Code	Fee (\$)	Fee Description	103	18	Claims in excess of 20	102	80	Ind. claims in excess of 3	104	270	Multiple dependent claim, if not paid	109	80	**Reissue independent claim over original patent	110	18	**Reissue claims in excess of 20 and over original patent
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FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity			
Code	Fee (\$)	Fee Description	Fee Paid
101	690	Utility filing fee	\$710
106	320	Design filing fee	
107	490	Plant filing fee	
108	710	Reissue filing fee	
114	150	Provisional filing fee	
Subtotal (1)			\$710

(continued - next page)

Additional Fees (continued)		Large Entity	
Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	390	Extension for reply within second month	
117	890	Extension for reply within third month	
118	1390	Extension for reply within fourth month	
128	1890	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1240	Petition to revive - unintentional	
142	1240	Utility issue fee (or reissue)	
143	440	Design issue fee	
144	600	Plant issue fee	
122	130	Petitions to Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of IDS	
581	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	710	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	Request for Continued Examination (RCE)	
169	900	Request for expedited examination of a design application	
Other fee (specify)			
Other fee (specify)			
*Reduced by Basic Filing Fee		Subtotal (3)	\$40.00

SUBMITTED BY			
NAME	Joanne N. Pappas	Reg. No.	40,117
SIGNATURE	<i>Joanne N. Pappas</i>		
DATE	March 23, 2001	Deposit Account User ID	13-4772